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|------------------------|--------------|--|
| Application Number | 10804631 | |
| Filing Date | 2004-03-19 | |
| First Named Inventor | Burns | |
| Art Unit | 3628 | |
| Examiner Name | Not Assigned | |
| Attorney Docket Number | 02-184-C | |

U.S.PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
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| /J.L./ | 1 | 6912511 | | 2005-06-28 | Eliezer et al. | |
| /J.L./ | 2 | 7110974 | | 2006-09-19 | Rust | |
| /J.L./ | 3 | 7177833 | | 2007-02-13 | Marynowski et al. | |
| /J.L./ | 4 | 5806050 | | 1998-09-08 | Shinn et al | |

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| /J.L./ | 1 | 20030200167 | | 2003-10-23 | Kemp | |
| /J.L./ | 2 | 20060259412 | | 2006-11-16 | Kemp | |

/Jessica Lemieux/

04/18/2008

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|--------|---|-------------|--|------------|-------|--|
| /J.L./ | 3 | 20060259406 | | 2006-11-16 | Kemp | |
| /J.L./ | 4 | 20060259409 | | 2006-11-16 | Burns | |

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| /J.L./ | 1 | Clark et al., Dec. 1992 "Seasonalities in NYSE Bid-Ask Spreads and Stock Returns in January", The Journal of Finance, Vol. 47, No. 5 (Dec., 1992) pp. 1999-2014 | <input type="checkbox"/> |
| /J.L./ | 2 | Chan et al. "The Intraday Behavior of Bid-Ask Spreads for NYSE Stocks and CBOE Options", The Journal of Financial and Quantitative Analysis, Vol. 30, No. 3 (Sep. 1995) pp. 329-346 | <input type="checkbox"/> |
| /J.L./ | 3 | George, et al., "Bid-Ask spreads and Trading Activity in the S&P 100 Index Options Market", The Journal of Financial and Quantitative Analysis, Vol. 28, No. 3 (Sep, 1993) pp. 381-397 | <input type="checkbox"/> |

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